

# Electronic structure, phonon spectra and electron-phonon interaction in $\text{HfB}_2$

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The electronic structure, Fermi surface, angle dependence of the cyclotron masses and extremal cross sections of the Fermi surface, phonon spectra, electron-phonon Eliashberg and transport spectral functions, temperature dependence of electrical resistivity of the  $\text{HfB}_2$  diboride were investigated from first principles using the fully relativistic and full potential linear muffin-tin orbital methods. The calculations of the dynamic matrix were carried out within the framework of the linear response theory. A good agreement with experimental data of electron-phonon spectral functions, electrical resistivity, cyclotron masses and extremal cross sections of the Fermi surface was achieved.

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## I. INTRODUCTION

Ceramics based on transition metal borides, nitrides, and carbides have extremely high melting points ( $>2500^\circ\text{C}$ ) and are referred to as ultra-high temperature ceramics.<sup>1,2</sup> Among them, diborides such as  $\text{ZrB}_2$  and  $\text{HfB}_2$  have a unique combination of mechanical and physical properties: high melting points ( $>3000^\circ\text{C}$ ); high thermal and electrical conductivity; chemical inertness against molten metals; great thermal shock resistance.<sup>1-3</sup> Thus, although carbides typically have the highest melting points ( $>3500^\circ\text{C}$ ), the diborides  $\text{ZrB}_2$  and  $\text{HfB}_2$  are more attractive candidates for high-temperature thermomechanical structural applications at temperatures  $\geq 3000^\circ\text{C}$ .<sup>1,2</sup> Potential applications include thermal protective structures for leading-edge parts on hypersonic re-entry space vehicles,<sup>1,4</sup> propulsion systems,<sup>1,4</sup> furnace elements,<sup>5</sup> refractory crucibles,<sup>5</sup> and plasma-arc electrodes.<sup>5,6</sup>

The discovery of superconductivity in  $\text{MgB}_2$  at 39 K by Akimitsu<sup>7</sup> has lead to booming activity in the physics community and activated a search for superconductivity in other diborides. Natural candidates for this search are  $\text{AB}_2$ -type light metal diborides ( $\text{A} = \text{Li}, \text{Be}, \text{Al}$ ). However, up to now superconductivity has not been reported in the majority of these compounds.<sup>8</sup> Only very recently has superconductivity below 1 K ( $T_c = 0.72$  K) been reported in  $\text{BeB}_{2.75}$ .<sup>9</sup> According to Ref. 10 no superconducting transition down to 0.42 K has been observed in powders of diborides of transition metals ( $\text{A} = \text{Ti}, \text{Zr}, \text{Hf}, \text{V}, \text{Ta}, \text{Cr}, \text{Mo}, \text{U}$ ). Only  $\text{NbB}_2$  is expected to superconduct with a rather low transition temperature ( $< 1$  K), and contradictory reports about superconductivity up to  $T_c=9.5$  K in  $\text{TaB}_2$  can be found in Ref. 10. Finally, the reported  $T_c=7$  K in  $\text{ZrB}_2$  encourages further studies of these diborides.<sup>8</sup>

Presently, a number of experimental studies exist dealing with the physical properties of  $\text{HfB}_2$  such as thermal and electrical properties,<sup>11-14</sup> mechanical,<sup>15</sup> and elastic properties,<sup>16</sup> the de Haas-van Alphen (dHvA) measurements of the Fermi surface,<sup>17</sup> optical ellipsometry measurements,<sup>18</sup> magnetic susceptibility,<sup>19,20</sup> and NMR

measurements.<sup>21</sup> First-principles calculations of the electronic structure of diborides including  $\text{HfB}_2$  have been also presented.<sup>19,20,22-29</sup>

Lawson *et al.*<sup>29</sup> studied the electronic structure and lattice properties of  $\text{HfB}_2$  and  $\text{ZrB}_2$  in a frame of the density functional theory (DFT). Lattice constants and elastic constants were determined. Computations of the electronic density of states, band structure, electron localization function, etc. show the diverse bonding types that exist in these materials. They also suggest the connection between the electronic structure and the superior mechanical properties. Lattice dynamical effects were considered, including phonon dispersions, vibrational densities of states, and specific heat curves. Point defect (vacancies and antisites) structures and energetics are also presented. Vajeeston *et al.*<sup>22</sup> investigated the electronic structure of  $\text{HfB}_2$  using the tight-binding linear muffin-tin orbital method, they claimed that metal-metal and metal-boron interactions are less significant than the  $p-p$  covalent interaction of boron atoms. The bonding nature, elastic property and hardness were investigated by Zhang *et al.*<sup>23</sup> for  $\text{HfB}_2$  as well as  $\text{ZrB}_2$  using the first principles total-energy plane-wave pseudopotential (PW-PP) method. They also reported the elastic anisotropy, Poissons ratio, hardness and Debye temperature in  $\text{HfB}_2$  and  $\text{ZrB}_2$ . Deligoz *et al.*<sup>26</sup> investigated the structural parameters (the lattice constants and bond length) and phonon dispersion relations in  $\text{HfB}_2$  and  $\text{TaB}_2$  compounds using the first-principles total energy calculations. The secondary results on the temperature-dependent behavior of thermodynamical properties such as entropy, heat capacity, internal energy, and free energy were also presented. Zhang *et al.*<sup>25</sup> investigated the ideal tensile and shear strengths of  $\text{TiB}_2$ ,  $\text{ZrB}_2$  and  $\text{HfB}_2$  by first-principles stress-strain calculations. Due to the nonlinearity of the stress response at large strains, the plastic anisotropy cannot be derived from elastic constants. Based on the relative stiffness of boron hexagons, a bond length indicator was obtained to characterize the preference for basal or prismatic slip in diborides. Zhang *et al.*<sup>24,27</sup> investigated theoretically the pressure dependence of elastic constants, bulk modulus and elastic anisotropy of  $\text{HfB}_2$ . The pressure dependence of structural property shows

that the effect of pressure is little on the structure of  $\text{HfB}_2$ . They find high pressure greatly changes the profile of the density of states (DOS), but it hardly changes the DOS value at Fermi level. Meanwhile, the Mulliken population analyses are investigated. It was suggested that as the pressure increases, a number of charge transfer from Hf to B atoms. Through quasi-harmonic Debye model, the variations of the Debye temperature, heat capacity and thermal expansion with pressure and temperature were obtained and discussed.

Fedorchenko and Grechnev with coauthors<sup>19,20</sup> measured the temperature dependences of the magnetic susceptibility  $\chi$  and its anisotropy  $\Delta\chi = \chi_{\parallel} - \chi_{\perp}$  for single crystals of transition-metal diborides  $\text{MB}_2$  ( $\text{M} = \text{Sc}, \text{Ti}, \text{V}, \text{Zr}, \text{Hf}$ ) in the temperature interval 4.2 - 300 K. A transition into the superconducting state was not found in any of the diborides studied, right down to liquid-helium temperature. It was found that the anisotropy is weakly temperature-dependent, a nonmonotonic function of the filling of the hybridized  $p-d$  conduction band. First-principles calculations of the electronic structure of diborides and the values of the paramagnetic contributions spin and Van Vleck to their susceptibility show that the behavior of the magnetic anisotropy is due to the competition between Van Vleck paramagnetism and orbital diamagnetism of the conduction electrons. Authors of Ref. 11 determined the thermal conductivity, thermal expansion, Young's modulus, flexural strength, and brittle-plastic deformation transition temperature for  $\text{HfB}_2$  as well as for  $\text{HfC}_{0.98}$ ,  $\text{HfC}_{0.76}$ , and  $\text{HfN}_{0.92}$  ceramics. The thermal conductivity of modified  $\text{HfB}_2$  exceeded that of the other materials by a factor of 5 at room temperature and by a factor of 2.5 at 820 °C. The transition temperature of  $\text{HfB}_2$  was 1100 °C. Pure  $\text{HfB}_2$  was found to have a strength of 340 MPa in 4 point bending, that was constant from room temperature to 1600 °C, while a  $\text{HfB}_2 + 10\% \text{HfC}_x$  had a higher room temperature bend strength of 440 MPa, but that dropped to 200 MPa at 1600 °C. The results of the theoretical modeling suggest that  $\text{HfB}_2$  should survive the high thermal stresses generated during the nozzle test primarily because of its superior thermal conductivity. Yang *et al.*<sup>18</sup> used *in situ* spectroscopic ellipsometry to analyze  $\text{HfB}_2$  thin films. By modeling the film optical constants with a Drude-Lorentz model, the film thickness, surface roughness, and electrical resistivity were measured. By modeling the real-time data in terms of film thickness and surface roughness, the film nucleation and growth morphology were determined as a function of substrate type, substrate temperature, and precursor pressure. Li *et al.*<sup>28</sup> studied the thermodynamics of the oxidation of  $\text{HfB}_2$  at temperatures of 1000, 1500, 2000, and 2500 K using volatility diagrams. They found that  $\text{HfB}_2$  exhibits oxidation behavior similar to  $\text{ZrB}_2$ . Zhang *et al.*<sup>13</sup> investigated experimentally the thermal and electrical transport properties of various spark plasma-sintered  $\text{HfB}_2$  and  $\text{ZrB}_2$  based polycrystalline ceramics over the 298-700 K temperature range. Measurements of thermal dif-

fusivity, electrical resistivity, and Hall coefficient were reported, as well as the derived properties of thermal conductivity, charge carrier density, and charge carrier mobility. Hall coefficients were negative confirming electrons as the dominant charge carrier. A Wiedemann-Franz analysis confirms the dominance of electronic contributions to heat transport. The thermal conductivity was found to decrease with increasing temperature. The properties of the Fermi surface of  $\text{ScB}_2$ ,  $\text{ZrB}_2$ , and  $\text{HfB}_2$  single crystals were studied by Pluzhnikov *et al.*<sup>17</sup> using the de Haas-van Alphen effect. The angular dependences of the frequencies of the dHvA oscillations in the planes (10 $\bar{1}0$ ), (11 $\bar{2}0$ ), and (0001) and the values of their effective cyclotron masses were measured. The frequencies of the oscillations found lie in the interval  $(0.96 - 0.87) \times 10^2$  T and the measured cyclotron masses  $m_c^*$  lie in the range  $(0.09 - 0.87)m_0$ .

Despite a lot of publications, there are still many open questions related to the electronic structure and physical properties of  $\text{HfB}_2$  diboride. The most theoretical efforts were devoted to the lattice and mechanical properties of  $\text{HfB}_2$ . There is no theoretical explanation of the Fermi surface as well as angle dependence of the cyclotron masses and extremal cross sections of the Fermi surface, electron-phonon interaction and electrical resistivity in  $\text{HfB}_2$ . The aim of this work is a complex investigation of the electronic structure, Fermi surface, angle dependence of the cyclotron masses and extremal cross sections of the Fermi surface, phonon spectra, electron-phonon Eliashberg and transport spectral functions, and temperature dependence of electrical resistivity of the  $\text{HfB}_2$  diboride. The paper is organized as follows. Section II presents the details of the calculations. Section III is devoted to the electronic structure as well as the Fermi surface, angle dependence of the cyclotron masses and extremal cross sections of the Fermi surface, phonon spectra, electron-phonon interaction and electrical resistivity using the fully relativistic and full potential LMTO band structure methods. The results are compared with available experimental data. Finally, the results are summarized in Sec. IV.

## II. COMPUTATIONAL DETAILS

Most known transition-metal (M) diborides  $\text{MB}_2$  are formed by group III-VI transition elements (Sc, Ti, Zr, Hf, V, Nb, and others) and have a layered hexagonal C32 structure of the  $\text{AlB}_2$ -type with the space group symmetry  $P6/mmm$  (number 191). It is simply a hexagonal lattice in which closely-packed transition metal layers are present alternative with graphite-like B layers (Fig. 1). These diborides cannot be exactly layered compounds because the inter-layer interaction is strong even though the M layers alternate with the B layers in their crystal structure. The boron atoms lie on the corners of hexagons with the three nearest neighbor boron atoms in each plane. The M atoms lie directly in the centers of

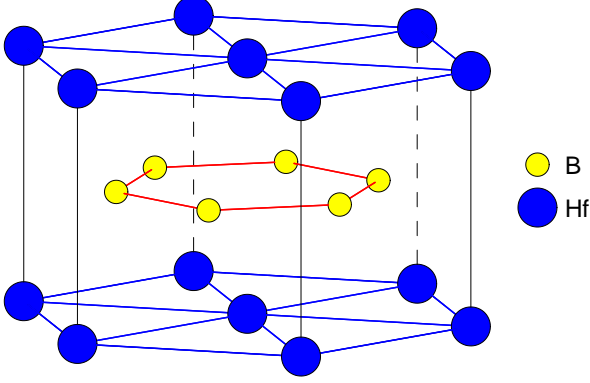


FIG. 1: (Color online) Schematic representation of the HfB<sub>2</sub> crystal structure.

each boron hexagon, but midway between adjacent boron layers. Each transition metal atom has twelve nearest neighbor B atoms and eight nearest neighbor transition metal atoms (six are on the metal plane and two out of the metal plane). There is one formula unit per primitive cell and the crystal has simple hexagonal symmetry (*D6h*). By choosing appropriate primitive lattice vectors, the atoms are positioned at Hf (0,0,0), B ( $\frac{1}{3}, \frac{1}{6}, \frac{1}{2}$ ), and B ( $\frac{2}{3}, \frac{1}{3}, \frac{1}{2}$ ) in the unit cell. The distance between Hf-Hf is equal to  $c$ . This structure is quite close packed, and can be coped with efficiently and accurately by the atomic sphere approximation method. However, for precise calculation of the phonon spectra and electron-phonon interaction, a full potential approximation should be used.

The Eliashberg function (the spectral function of the electron-phonon interaction) expressed in terms of the phonon linewidths  $\gamma_{\mathbf{q}\nu}$  has the form<sup>30</sup>

$$\alpha^2 F(\omega) = \frac{1}{2\pi N(\epsilon_F)} \sum_{\mathbf{q}\nu} \frac{\gamma_{\mathbf{q}\nu}}{\omega_{\mathbf{q}\nu}} \delta(\omega - \omega_{\mathbf{q}\nu}). \quad (1)$$

The line-widths characterize the partial contribution of each phonon:

$$\gamma_{\mathbf{q}\nu} = 2\pi\omega_{\mathbf{q}\nu} \sum_{jj'\mathbf{k}} |g_{\mathbf{k}+\mathbf{q}j',\mathbf{k}j}^{\mathbf{q}\nu}|^2 \delta(\epsilon_{j\mathbf{k}} - \epsilon_F) \delta(\epsilon_{\mathbf{k}+\mathbf{q}j'} - \epsilon_F). \quad (2)$$

The electron-phonon interaction constant is defined as:

$$\lambda_{e-ph} = 2 \int_0^\infty \frac{d\omega}{\omega} \alpha^2 F(\omega). \quad (3)$$

It can also be expressed in terms of the phonons line-widths:

$$\lambda_{e-ph} = \sum_{\mathbf{q}\nu} \frac{\gamma_{\mathbf{q}\nu}}{\pi N(\epsilon_F) \omega_{\mathbf{q}\nu}^2}, \quad (4)$$

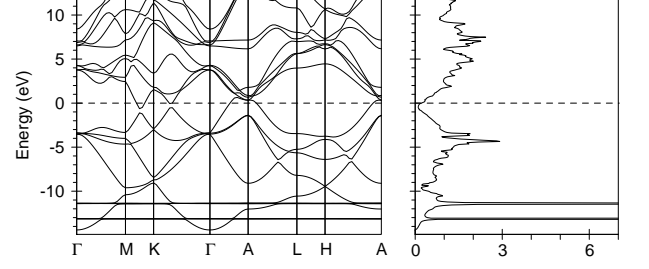


FIG. 2: (Color online) Energy band structure and total DOS [in states/(cell eV)] of HfB<sub>2</sub>.

were  $N(\epsilon_F)$  is the electron density of states per atom and per spin on the Fermi level ( $\epsilon_F$ ) and  $g_{\mathbf{k}+\mathbf{q}j',\mathbf{k}j}^{\mathbf{q}\nu}$  is the electron-phonon interaction matrix element. The double summation over Fermi surface in Eq.(2) was carried out on dense mesh (793 point in the irreducible part of the BZ)

Calculations of the electronic structure and physical properties of the HfB<sub>2</sub> diborides were performed using fully relativistic LMTO method<sup>31</sup> with the experimentally observed lattice constants:  $a=3.141 \text{ \AA}$  and  $c=3.47 \text{ \AA}$  for HfB<sub>2</sub>.<sup>32</sup> For the calculation of the phonon spectra and electron-phonon interaction a scalar relativistic FP-LMTO method<sup>33</sup> was used. In our calculations we used the Perdew-Wang<sup>34</sup> parameterization of the exchange-correlation potential in general gradient approximation. BZ integrations were performed using the improved tetrahedron method.<sup>35</sup> Phonon spectra and electron-phonon matrix elements were calculated for 50 points in the irreducible part of the BZ using the linear response scheme developed by Savrasov.<sup>33</sup> The  $5s$  and  $5p$  semi-core states of HfB<sub>2</sub> were treated as valence states in separate energy windows. Variations in charge density and potential were expanded in spherical harmonics inside the MT sphere as well as 2894 plane waves in the interstitial area with 88.57 Ry cut-off energy for HfB<sub>2</sub>. As for the area inside the MT spheres, we used  $3k\text{-}spd$  LMTO basis set energy (-0.1, -1, -2.5 Ry) with one-center expansions inside the MT-spheres performed up to  $l_{max} = 6$ .

### III. RESULTS AND DISCUSSION

#### A. Energy band structure

Figure 2 presents the energy band structure and total density of states of HfB<sub>2</sub>. The partial DOSs HfB<sub>2</sub> are shown in Fig. 3. Our results for the electronic structure of HfB<sub>2</sub> are in agreement with earlier calculations.<sup>20,26,36</sup> A common feature for all transition metal diborides is the deep DOS minimum (pseudo-gap) at the Fermi energy separating the valence band and the conduction band. According to Pasturel *et al.*,<sup>37</sup> a pseudo-gap arises because of a strong chemical interaction. The M-B covalent bonding is believed to be responsible for this effect. The

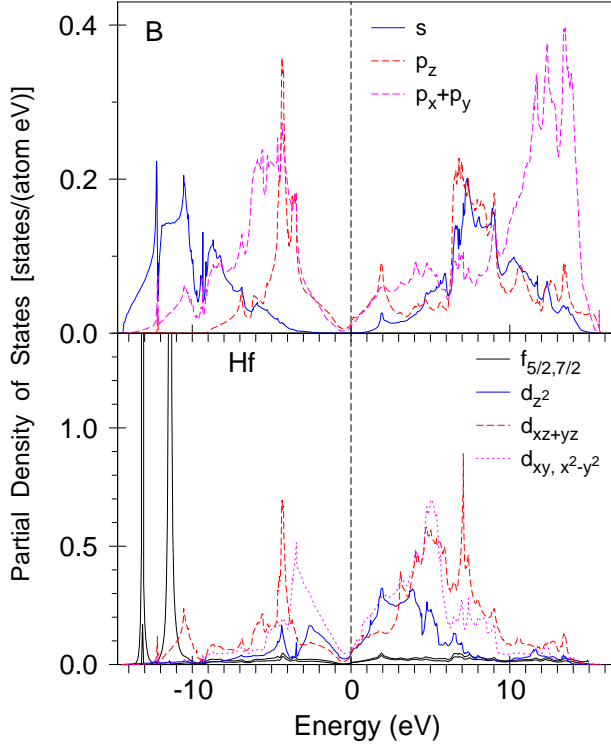


FIG. 3: (Color online) Partial DOSs [in states/(atom eV)] of HfB<sub>2</sub>.

Hf  $4f_{5/2,7/2}$  states in HfB<sub>2</sub> are situated at the  $-14.5$  eV to  $-10$  eV. The Hf  $5d$  states are the dominant features in the interval from  $-12.5$  eV to  $14$  eV. These tightly bound states show overlap with B  $2p$  and, to a lesser extent, with B  $2s$  states both above and below  $\varepsilon_F$ , implying considerable covalency. Higher-energy states between  $9$  eV and  $17$  eV above  $\varepsilon_F$  appear to arise from Hf  $6p$  and  $6s$  states hybridized with B  $2p$  states. The crystal field at the Hf site ( $D6h$  point symmetry) causes the splitting of Hf  $d$  orbitals into a singlet  $a_{1g}$  ( $d_{3z^2-1}$ ) and two doublets  $e_{1g}$  ( $d_{yz}$  and  $d_{xz}$ ) and  $e_{2g}$  ( $d_{xy}$  and  $d_{x^2-y^2}$ ). The crystal field at the B site ( $D3h$  point symmetry) causes the splitting of B  $p$  orbitals into a singlet  $a_4$  ( $p_z$ ) and a doublet  $e_2$  ( $p_x$  and  $p_y$ ). B  $s$  states occupy a bottom of valence band between  $-14.6$  eV and  $-3.0$  eV and hybridize strongly with B  $p_x$  and  $p_y$  and Hf  $d_{yz}$  and  $d_{xz}$  states located at  $-12.5$  eV to  $-0.5$  eV. B  $p_x$  and  $p_y$  occupied states are located between  $-12.5$  eV and  $-0.5$  eV. B  $p_z$  states occupied a smaller energy interval from  $-7.5$  eV to  $-0.5$  eV with a very strong and narrow peak structure at around  $-4$  eV.

### B. Fermi surface

The Fermi surfaces (FS) of ScB<sub>2</sub>, HfB<sub>2</sub> and HfB<sub>2</sub>, were studied by Pluzhnikov *et al.*<sup>17</sup> using the dHvA effect.

Theoretical calculations show a ring-like electron FS around the  $K$  symmetry point (Fig. 4) and of a wrinkled

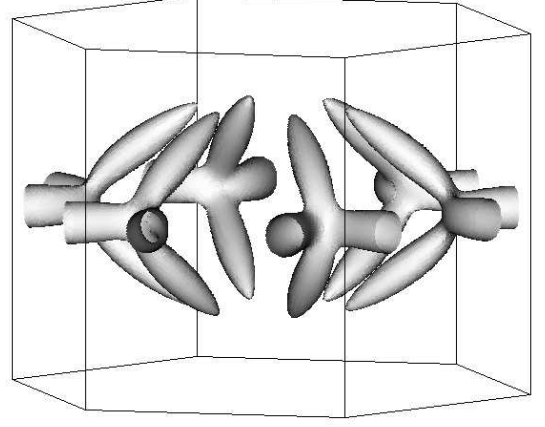


FIG. 4: (Color online) The calculated electron sheets of the Fermi surface around K symmetry point from the 6th energy band of HfB<sub>2</sub>.

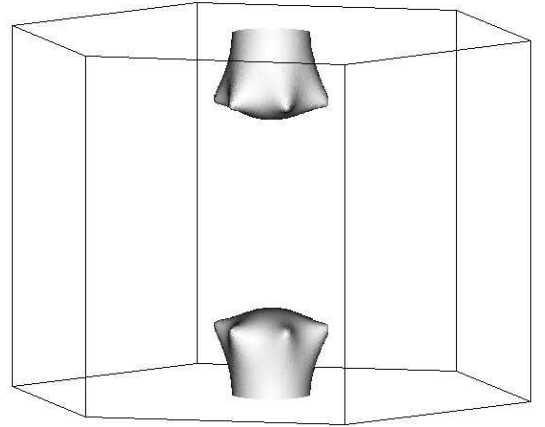


FIG. 5: The calculated hole sheets of the Fermi surface at the A symmetry point from the 5th energy band of HfB<sub>2</sub>.

dumbbell-like hole FS at the A point (Fig. 5) in HfB<sub>2</sub>. The electron and hole Fermi surfaces have threefold and sixfold symmetries, respectively. Figure 6 shows the calculated cross section areas in the plane perpendicular  $z$  direction and crossed A symmetry point for hole FS (upper panel) and crossed  $\Gamma$  point for electron FS (lower panel) of HfB<sub>2</sub>.

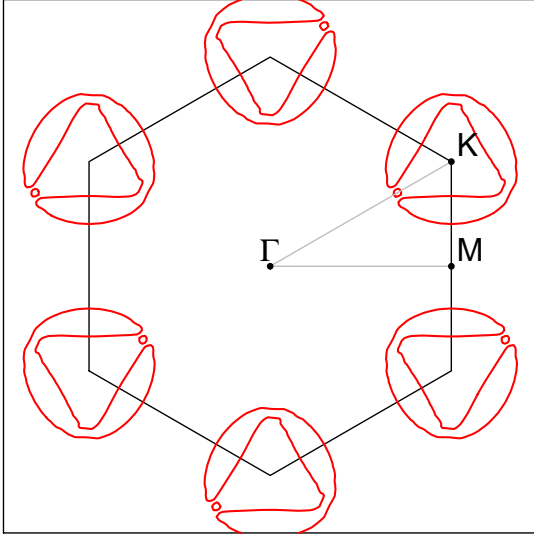
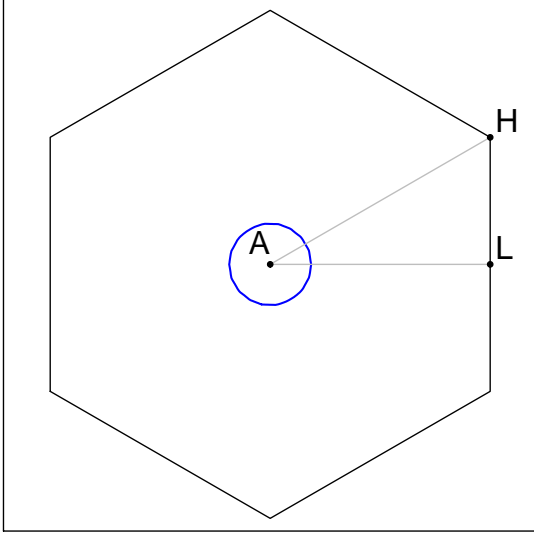


FIG. 6: (Color online) The calculated cross sections in the plane perpendicular  $z$  direction and crossed  $A$  symmetry point (upper panel) and  $\Gamma$  point (lower panel) for  $\text{HfB}_2$  (full red curves).

Figure 7 represents angular variations of the experimentally measured dHvA frequencies<sup>17</sup> for  $\text{HfB}_2$  in comparison with the first-principle calculations for field direction in the  $(10\bar{1}0)$ ,  $(11\bar{2}0)$ , and  $(0001)$  planes. The observed frequencies of  $\alpha$ ,  $\beta$ ,  $\gamma$ , and  $\delta$  oscillations belong to electron FS around the  $K$  point. The  $\epsilon$ ,  $\mu$ , and  $\zeta$  orbits belong to the hole wrinkled dumbbell FS. The  $\alpha$  frequencies have four branches at the  $(10\bar{1}0)$  plane and three branches at the  $(11\bar{2}0)$  plane. The lower  $\gamma$  frequencies have one branch in both the planes. The theory reasonably well reproduces the frequencies measured experimentally. However, there are still some discrepan-

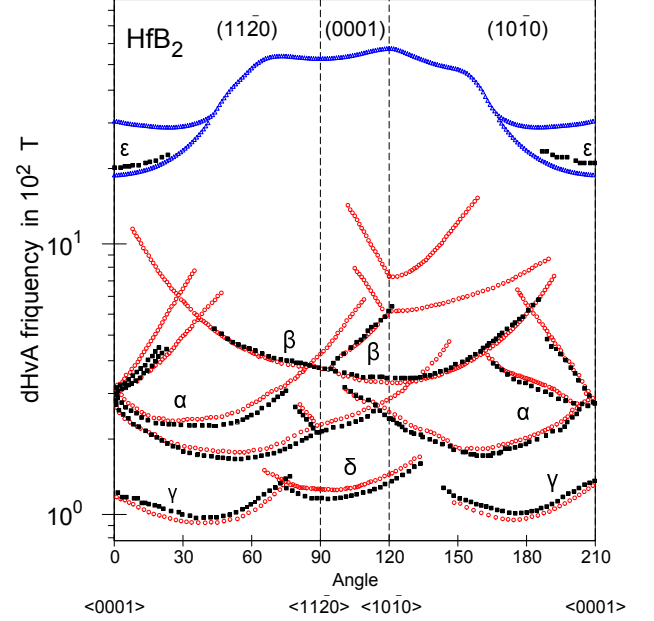


FIG. 7: (Color online) The calculated (open red and blue circles for the electron and hole surfaces, respectively) and experimentally measured<sup>17</sup> (black full squares) angular dependence of the dHvA oscillation frequencies in the compound  $\text{HfB}_2$ .

cies. The  $\beta$  orbits have an additional two branches at higher frequencies at the  $(11\bar{2}0)$ , and  $(0001)$  planes not observed experimentally. The experiment for high frequencies detected only  $\epsilon$  orbits in vicinity of the  $<0001>$  direction in  $\text{HfB}_2$ . We found the  $\epsilon$ ,  $\mu$  and  $\zeta$  orbits similar to the corresponding orbits observed experimentally in isostructural and isovalent  $\text{ZrB}_2$ .<sup>17</sup> These orbits have not been detected in the dHvA experiment.<sup>17</sup> One of the possible reasons for that is the relatively large cyclotron masses for these orbits. Figure 8 shows the theoretically calculated angular dependence of the cyclotron masses ( $m_b$ ) and the experimentally measured masses ( $m_c^*$ ) for high symmetry directions in  $\text{HfB}_2$ . The cyclotron effective masses were determined from the temperature dependences of the amplitudes of the dHvA oscillations. The cyclotron masses for the  $\epsilon$ ,  $\mu$ , and  $\zeta$  orbits in  $\text{HfB}_2$  are much higher than the corresponding low-frequency oscillations  $\alpha$ ,  $\beta$ ,  $\gamma$  and  $\delta$ . The fact that the masses for electron Fermi surface are significantly larger than for the hole Fermi surface may explain a negative experimentally measured Hall coefficient<sup>13</sup> and confirms electrons as the dominant charge carriers in  $\text{HfB}_2$ . A Wiedemann-Franz analysis also indicate the dominance of electronic contributions to heat transport.<sup>13</sup>

We note that band cyclotron effective masses  $m_b$  are renormalized by the electron-phonon interaction  $m_c^* = m_b(1 + \lambda)$ , where  $\lambda$  is the constant of the electron-phonon interaction. By comparing the experimentally measured cyclotron masses with band masses we can estimate the  $\lambda$ . It is strongly varied on the orbit type and magnetic di-

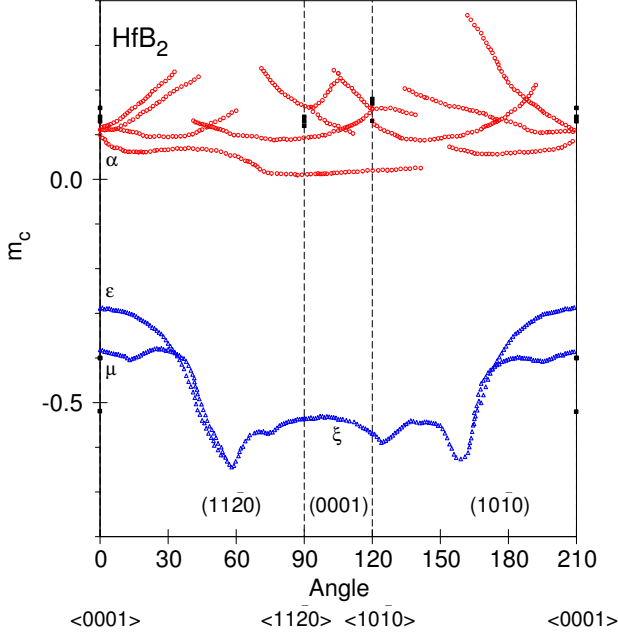


FIG. 8: (Color online) The calculated angular dependence of the cyclotron masses for the electron Fermi surface (open red circles) and the hole Fermi surface (blue open triangles) and experimentally measured ones<sup>17</sup> (black full squares) in the compound HfB<sub>2</sub>.

rection. We estimate the constant of the electron-phonon interaction to be equal to 0.18-0.23 for the  $\alpha$  orbits and 0.36 and 0.75 for the  $\epsilon$  and  $\mu$  orbits, respectively, with  $H \parallel \langle 0001 \rangle$ . For the  $\langle 10\bar{1}0 \rangle$  and  $\langle 11\bar{2}0 \rangle$  directions the  $\lambda$  for the  $\alpha$  orbits are reduced, respectively, to 0.10 and 0.12 values.

### C. Phonon spectra

The unit cell of HfB<sub>2</sub> contains three atoms, which gives in general case a nine phonon branches. Figure 9 shows theoretically calculated phonon density of state for HfB<sub>2</sub> (full blue curve). The DOS for HfB<sub>2</sub> can be separated into three distinct regions. Based on our analysis of relative directions of eigenvectors for each atom in unit cell, we find that the first region (with a peak in phonon DOS at 5.2 THz) is dominated by the motion of Hf. This region belongs to the acoustic phonon modes. The second wide region (14-20 THz) results from the coupled motion of Hf and the two B atoms in the unit cell. The  $E_{1u}$ ,  $A_{2g}$ ,  $B_{1g}$  phonon modes (see Table I) lie in this area. The phonon DOS in the third region extends from 22 THz to 26 THz. This is due to the movement of boron atoms and is expected since boron is lighter than Hf. The covalent character of the B-B bonding is also crucial for the high frequency of phonons. The in-plane  $E_{2g}$  mode belongs to this region. The second and third regions represent optical phonon modes in crystals. The most significant

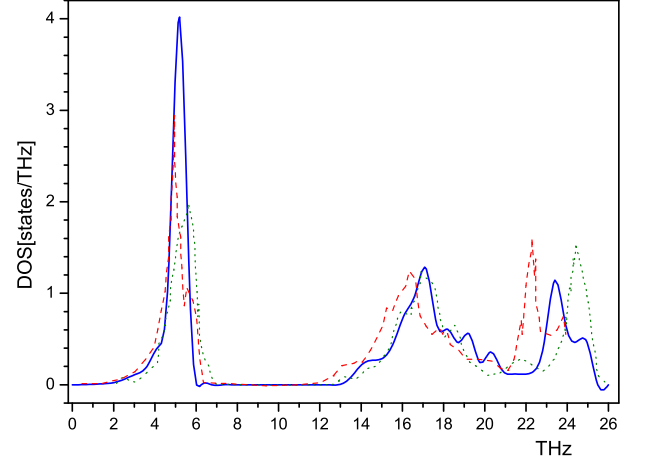


FIG. 9: (Color online) Theoretically calculated phonon density of states (full blue line) for HfB<sub>2</sub>. The dotted green and red dashed lines present the calculated phonon DOS of HfB<sub>2</sub> by Deligoz *et al.*<sup>26</sup> and Lawson *et al.*<sup>29</sup>, respectively.

TABLE I: Theoretically calculated phonon frequencies (in THz) in the  $\Gamma$  symmetry point for HfB<sub>2</sub> and calculated phonon frequencies by Deligoz *et al.*<sup>26</sup> and Lawson *et al.* Ref. 29.

reference	$E_{1u}$	$A_{2g}$	$B_{1g}$	$E_{2g}$
our results	13.76	15.03	17.12	25.17
SIESTA <sup>26</sup>	14.10	15.19	15.87	24.49
VASP <sup>29</sup>	13.34	14.00	16.40	24.16
ABINIT <sup>29</sup>	12.92	13.85	16.01	23.59

feature in the phonon DOS is a gap around 6-13 THz. This gap is a consequence of the large mass difference between B(10.8 a.u.) and Hf (178.49 a.u.), which leads to decoupling of the transition metal and boron vibrations.

Currently, there are no data concerning the experimentally measured phonon DOS in HfB<sub>2</sub>. So we compare our results with theoretically calculated phonon DOS by Deligoz *et al.*<sup>26</sup> and Lawson *et al.*<sup>29</sup> (Fig. 9 and Table I). Calculations of Deligoz *et al.*<sup>26</sup> were based on the density functional formalism and generalized gradient approximation. They used the Perdew-Burke-Ernzerhof functional<sup>38</sup> for the exchange-correlation energy as it is implemented in the SIESTA code.<sup>39</sup> This code calculates the total energies and atomic Hellmann-Feynman forces using a linear combination of atomic orbitals as the basis set. The basis set consists of finite range pseudoatomic orbitals of the Sankey-Niklewsky type<sup>40</sup> generalized to include multiplezeta decays. The interactions between electrons and core ions are simulated with the separable Troullier-Martins<sup>41</sup> normconserving pseudopotentials. In other words, they used the so-called "frozen phonon" technique and built an optimized rhombohedral supercell with 36 atoms. This method is inconvenient for calculating phonon spectra for small  $\mathbf{q}$ -points as well



as for compounds with large number of atoms per unit cell. Lawson *et al.*<sup>29</sup> used two different codes to calculate the phonon spectra. VASP, the supercell method, based on the projected augmented wave potentials. Second method, ABINIT, used Fritz Haber Institute pseudopotentials in the Troulliers-Martin form. VASP results of Lawson *et al.*<sup>29</sup> is slightly closer to our calculation with respect to ABINIT data. There is a good agreement between our calculations and the results of Deligoz *et al.*<sup>26</sup> in a shape and energy position of the second peak in the phonon DOS. There is an energy shift towards smaller energies of the first and third peaks of the Lawson *et al.*<sup>29</sup> calculations in comparison with the Deligoz *et al.*<sup>26</sup> data with our results are just in between these two calculations.

#### D. Electron-phonon interaction

Figure 10 shows theoretically calculated Eliashberg functions for HfB<sub>2</sub> as well as electron-phonon prefactor  $\alpha^2(\omega)$  (definition of this function is merely ratio  $\alpha^2(\omega)F(\omega)/F(\omega)$ ). There is no difference between main peaks positions of phonon spectra and electron-phonon coupling function. Electron-phonon prefactor has three peaks: 5.2 THz, 17.1 THz and 21.3 THz (the corresponding peaks in the phonon DOS are situated at the 5.2 THz, 17.1 THz, and 23.4 THz frequencies). The  $\alpha^2(\omega)$  has strongly varying character. Therefore the electron-phonon coupling can not be factorized into independent electronic and phonon parts. The matrix element of electron-phonon interaction cannot be represented in form  $\alpha^2(\omega) \approx \text{const}$  and hence well known McMillan approximation<sup>42</sup> is not valid for HfB<sub>2</sub>. By integrating the Eliashberg function we estimate the averaged electron-phonon constants  $\lambda_{e-ph}=0.17$ . The constant of the electron-phonon interaction also can be estimated by comparison the theoretically calculated DOS at the Fermi level with the electron specific heat coefficient  $\gamma$ .  $C_p = \gamma T$ , where  $\gamma = 1.0 \text{ mJmole}^{-1}\text{K}^{-2}$  for HfB<sub>2</sub>.<sup>43</sup> HfB<sub>2</sub> possesses quite small value of the DOS at the Fermi level of 0.4 states/(cell eV), it gives the theoretically calculated  $\gamma_b=0.8 \text{ mJmole}^{-1}\text{K}^{-2}$  and  $\lambda=0.2$  with qualitative agreement with  $\lambda_{e-ph}=0.17$ .

#### E. Electrical resistivity

In the pure metals (excluding low-temperature region), the electron-phonon interaction is the dominant factor governing electrical conductivity of the substance. Using lowest-order variational approximation, the solution for the Boltzmann equation gives the following formula for the temperature dependence of  $\rho_I(T)$ :

$$\rho_I(T) = \frac{\pi \Omega_{\text{cell}} k_B T}{N(\epsilon_F) \langle v_I^2 \rangle} \int_0^\infty \frac{d\omega}{\omega} \frac{\xi^2}{\sinh^2 \xi} \alpha_{tr}^2 F(\omega), \quad (5)$$

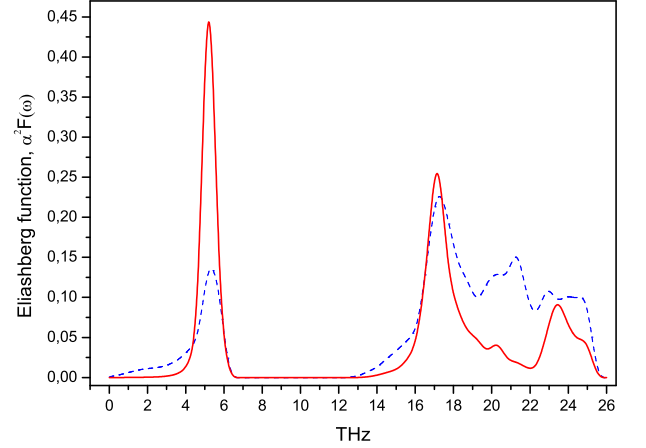


FIG. 10: (Color online) Theoretically calculated Eliashberg function  $\alpha^2 F(\omega)$  of HfB<sub>2</sub> (full red line) and electron-phonon prefactor  $\alpha^2(\omega)$  (dashed blue line).

where, the subscript  $I$  specifies the direction of the electrical current. In our work, we investigate two direction: [0001] (c-axis or z direction) and [10 $\bar{1}$ 0] (a-axis or x-direction).  $\langle v_I^2 \rangle$  is the average square of the  $I$  component of the Fermi velocity,  $\xi = \omega/2k_B T$ .

Mathematically, the transport function  $\alpha_{tr} F(\omega)$  differs from  $\alpha F(\omega)$  only by an additional factor  $[1 - v_I(\mathbf{k})v_I(\mathbf{k}')/\langle v_I^2 \rangle]$ , which preferentially weights the backscattering processes.

Formula (5) remains valid in the range  $\Theta_{tr}/5 < T < 2\Theta_{tr}$ <sup>33</sup> where:

$$\Theta_{tr} \equiv \langle \omega^2 \rangle_{tr}^{1/2}, \quad (6)$$

$$\langle \omega^2 \rangle_{tr} = \frac{2}{\lambda_{tr}} \int_0^\infty \omega \alpha_{tr}^2 F(\omega) d\omega, \quad (7)$$

$$\lambda_{tr} = 2 \int_0^\infty \alpha_{tr}^2 F(\omega) \frac{d\omega}{\omega}, \quad (8)$$

The low-temperature electrical resistivity is the result of electron-electron interaction, size effects, scattering on impurities, etc., however, for high temperatures it is necessarily to take into account the effects of anharmonicity and the temperature smearing of the Fermi surface. In our calculations  $\Theta_{tr}=654.4 \text{ K}$  for  $c$ -axis, and  $679.9 \text{ K}$  for  $a$ -axis for HfB<sub>2</sub>.

Figure 11 represents the theoretically calculated temperature dependence of electrical resistivity of HfB<sub>2</sub> for the  $\langle 0001 \rangle$  direction (full blue curve) and the basal  $\langle 10\bar{1}0 \rangle$  direction (dashed red curve) and experimental measurements for polycrystalline HfB<sub>2</sub>.<sup>44</sup> Specimen of ceramic HB<sub>2</sub> was obtained by spark plasma sintering

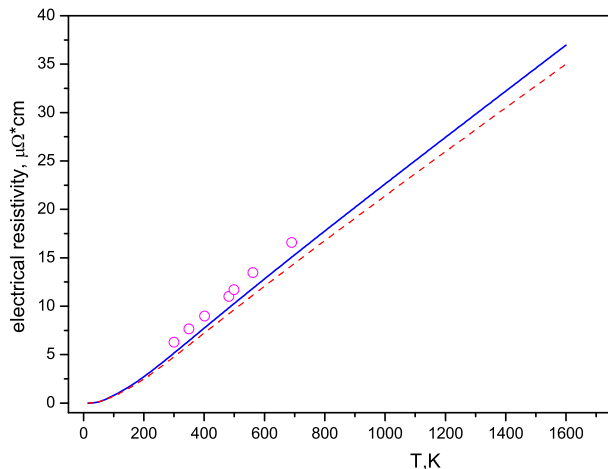


FIG. 11: (Color online) Theoretically calculated for the  $\langle 0001 \rangle$  direction (full blue curve) and the basal  $\langle 10\bar{1}0 \rangle$  direction (dashed red curve) and experimentally measured temperature dependence of electrical resistivity of  $\text{HfB}_2$ .<sup>44</sup>

method and had good ratio of experimental and theoretically calculated density  $\rho_{exp}/\rho_{th} = 98.1\%$ . Our results are in good agreement with the experiment. The small discrepancy does not exceed accuracy of calculation. We obtained anisotropy ratio of electrical resistivity at  $T=300\text{K}$ :  $\rho_z/\rho_x = 1.079$ . Actually this fact indicates that for  $\text{HfB}_2$  anisotropy is not clearly expressed.

#### IV. SUMMARY

We have studied the electronic structure and physical properties of  $\text{HfB}_2$  using fully relativistic and full potential linear muffin-tin orbital methods. We study the electron and phonon subsystems as well as the electron-phonon interaction in this compound.

We investigated the Fermi surface, angle dependence

of the cyclotron masses, and extremal cross sections of the Fermi surface of  $\text{HfB}_2$  in details. Theoretical calculations show a ring-like electron FS in  $\text{HfB}_2$  around the  $K$  symmetry point and a wrinkled dumbbell-like hole FS at the  $A$  point. Theory reproduces the experimentally measured dHvA frequencies in  $\text{HfB}_2$  reasonably well. We found that masses for low-frequency oscillations  $\alpha$ ,  $\beta$ ,  $\gamma$ , and  $\delta$  are less than  $0.25m_0$ . Masses for high-frequency oscillations  $\epsilon$ ,  $\mu$ , and  $\zeta$  lie in the range from  $-0.3$  to  $-0.65m_0$ . The experiment for high frequencies detected only  $\epsilon$  orbits in vicinity of the  $\langle 0001 \rangle$  direction in  $\text{HfB}_2$ . We found the  $\epsilon$ ,  $\mu$  and  $\zeta$  orbits similar to the corresponding orbits experimentally in isostructural and iso-valent  $\text{ZrB}_2$ . These orbits have not been detected in the dHvA experiment. One of the possible reasons for that is the relatively large cyclotron masses for these orbits.

Calculated phonon spectra and phonon DOSs for  $\text{HfB}_2$  is in good agreement with previous calculations. We did not find regions with high electron-phonon interaction or phonon dispersion curves with soft modes in  $\text{HfB}_2$ . This is in agreement with the fact that no trace of superconductivity was found in these borides. The averaged electron-phonon interaction constant was found to be rather small  $\lambda_{e-ph}=0.17$  for  $\text{HfB}_2$ . We calculated the temperature dependence of the electrical resistivity in  $\text{HfB}_2$  in the lowest-order variational approximation of the Boltzmann equation. We found rather small anisotropic behavior of the electrical resistivity in  $\text{HfB}_2$  to be in good agreement with experimental observation.

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